

DOCKET NO.: 100168.70061.US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

John W. Arba et al.

Serial No:

09/954,986

Confirmation. No.:

7682

Filed:

September 18, 2001

For:

ELECTRODEIONIZATION DEVICE AND METHODS OF USE

Examiner:

Not yet assigned

Art Unit:

1723

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 11 day of March, 2003

Nicole Millette Hawes

Commissioner For Patents Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement
- [X] PTO Form 1449 with cited references
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 500214. A duplicate of this sheet is enclosed.

Respectfully submitted,

John W. Arba et al., Applicants

By:

Peter C. Lando, Reg. No.: 34,654 Elias Domingo, Reg. No.: 52,827 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)720-3500

Docket No. I00168.70061.US Date: March \_\_\_\_\_\_, 2003

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Nicole Millette Hawes

Commissioner for Patents Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

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### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

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Conf. No.: 7682

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Art Unit: 1723

An early and favorable action is hereby requested.

Respectfully submitted, John W. Arba et al., Applicants

By:

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Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No. I00168.70061.US

Date: March, <u>7</u> 2003

**XNDDX** 

FORM PTO-1440, and B (Modified)

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 1

APPLICATION NO.: 09/954,986 ATTY. DOCKET NO.: 100168.70061.US

FILING DATE: September 18, 2001 CONFIRMATION NO.: 7682

APPLICANT: John W. Arba et al.

GROUP ART UNIT: 1723 EXAMINER: Not yet assigned

### U.S. PATENT DOCUMENTS

| Examiner's<br>Initials | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited | Date of Publication or of issue |
|------------------------|-------------|----------------------|--------------|--|---------------------------------|
|                        |             | Number               | Kind<br>Code | Document                               | of Cited Document<br>MM-DD-YYYY |
|                        | 1           | 6,471,867            | B2           | Sugaya et al.                          | 10-29-2002                      |
| _                      |             |                      |              |  |                                 |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
|          |                 |

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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HAIL ROOM